

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of
Kenneth MC CHEUNG et al.
Serial No.: 10/648,158
Filed: August 25, 2003
For: Device for Correcting Spinal Deformities

Examiner: BECCIA, Christopher J
Group Art: 3775

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

The University of Hong Kong and The City University of Hong Kong certify that they are co-assignees of 100% of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ Assignments from the inventors of the patent application n identified above. The assignments were recorded in the Patent and Trademark Office at Reel 014865, Frame 0668.

or

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

From: ____ To:

The document was recorded in the Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ As requested by 37 C.F.R. § 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owners to the assignees was, or concurrently is being, submitted for recordation pursuant to 37 C.F.R. § 3.11.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: November 15, 2010

Signature: /Hua Gao/

Name: Hua Gao (Reg. No. 40,414)

Title: Attorney of Record